

RMS Fee Schedule

1.0 Introduction

This document describes the fees that apply to program participants that are certified to RMS Standards and/or choose to participate in the RMS ARC generation and trading platform known as the ARC Registry.

These fees have been established to help cover costs associated with developing, maintaining and expanding the RMS program. As with other aspects within the program, we will review our fee schedule on a routine basis.

The most current version of all RMS documents will be available at the RMS website, <https://www.rmscertified.com/about/standard/>.

All fees in this document are in US dollars.

2.0 Certificate Administration Fees

All certificate holders must pay an annual Certificate Administration Fee to support core operations of the RMS program including:

- Governance mechanisms
- Development and maintenance of standards and policies
- Marketing and market development
- Trademark protection
- Impact assessment and reporting
- Communications

The Certificate Administration Fee is based on the annual revenue of the certificate holder and the scope of certificate (single site, multi-site or group) as follows:

Tier	Annual Revenue	Single Site	SPC Member Single Site	Multi-site/ Group	SPC Member Multi-site/Group
Level 1	<\$5 million	\$2,500	\$2,000	\$3,750	\$3,000
Level 2	\$5-50 million	\$5,500	\$5,000	\$8,250	\$7,500
Level 3	\$50-500 million	\$8,500	\$7,500	\$12,750	\$11,250
Level 4	\$500 million - 1 billion	\$11,500	\$10,000	\$17,250	\$15,000
Level 5	Over \$1 billion	\$14,500	\$13,000	\$21,750	\$19,500

For group certificates, the combined revenue of the group members is used to determine which level the group is charged for.

If a company chooses to hold more than one certificate, a separate fee is charged for each certificate.

3.0 ARC Registry Fees

The ARC registry fees are used to support the operation of the registry including:

- Account administration
- Technical support
- Reporting functions

There are three different types of accounts that offer different capabilities to registry users.

- 1. ARC Generator** – ARC Generators are entities that have achieved RMS certification status and have one or more projects meeting the additionality requirements as defined in the RMS Plastics Module. ARC generators are the only entities that are able to register qualified ARC-generating projects and issue new certificates within their accounts which become available for trading. ARC generator accounts also have the ability to obtain and retire certificates if they choose to do so.
- 2. Trader** – Traders are able to obtain, transfer or retire certificates on behalf of themselves or another entity which is known as a beneficial owner.
- 3. Purchaser** – Any registered entity that uses the registry solely for the purpose of obtaining and retiring ARCs. Purchasers may include various types of entities such as businesses, government entities, colleges and universities or nonprofits.

A beneficial owner is an entity that uses ARCs to make claims but relies on another entity to make transactions on their behalf within the registry. ARC Generators and Traders can make transactions for beneficial owners.

There are three types of ARC Registry Fees, as follows:

Type	Registration Fee	Annual Account Maintenance Fee	Beneficial Owner Fee
Generator (Project Owner)	\$1,000	\$500	\$500 per entity
Trader	\$2,500	\$1,000	\$500 per entity
Purchaser	\$1,000	\$500	N/A

The Registration Fee covers the initiation fee to establish a new account and includes maintenance of the account for the first year of activity within the registry.

The Account Maintenance Fee is an annual fee due every 12 months on the anniversary of initial registration.

Account status may be suspended due to non-payment or a suspected violation of the terms of use. If a violation is confirmed the account will be closed and ARCs will be retired from the account. A suspended account may be reactivated if a past-due invoice is paid or other conditions are met.

A single account may be used to manage multiple projects or take action on behalf of multiple beneficial owners.

Any entity that trades on behalf of another entity will be assessed a \$500 Beneficial Owner Fee per beneficial owner each year.

Example 1: Multiple Projects

An eligible ARC generator has an established account that has been active for several years. They invest in an expansion of their recycling facility, establishing a new project with a different new date and expanded capacity from their original project. The generator is not required to create a new account for the expansion and can use their existing account to manage the new project.

Example 2: Multiple Beneficial Owners

An ARC Trader coordinates transactions and retires ARCs on behalf of a city government agency, two universities and five corporations (8 total). The trader's total annual fees would be:

Account Maintenance Fee	\$1,000
Beneficial Owner Fees	8 x \$500 = \$4,000
Total Annual Fees	\$5,000

4.0 Legal Fees

Each piece of the program that requires a signature on behalf of a participating entity has been prepared with assistance from legal counsel and vetted by several companies. In the event that a participant requests changes to any agreement within the RMS Program, the company will be charged a setup fee of \$1,500 to cover our legal fees necessary to review proposed changes.